



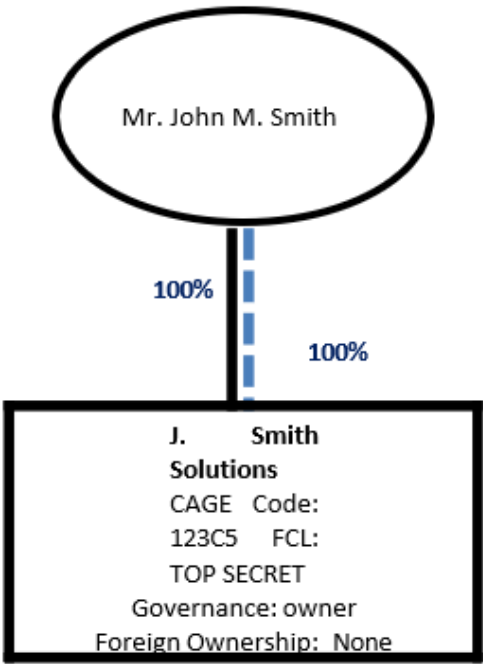
Job Aid: Sample Organizational Charts for Different Types of Business Structures

This Job Aid was designed to assist in the identification of the different common types of business structures and the elements of each and will provide sample organizational charts for the following business structures:

- ❖ Sole Proprietorship
- ❖ LLC (Member-managed)
- ❖ LLC (Manager-managed)
- ❖ Corporation
- ❖ Joint Venture (not a business structure, but example provided)
- ❖ Complex business structure
- ❖ University
- ❖ Branch or division



Sole Proprietorship



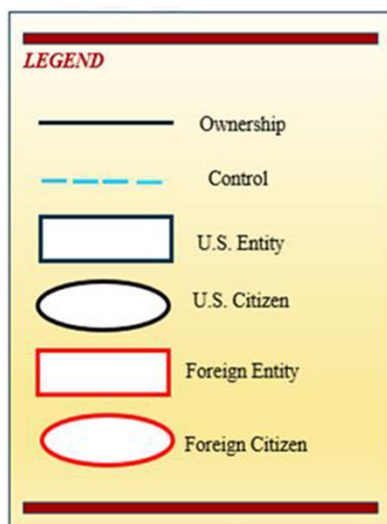
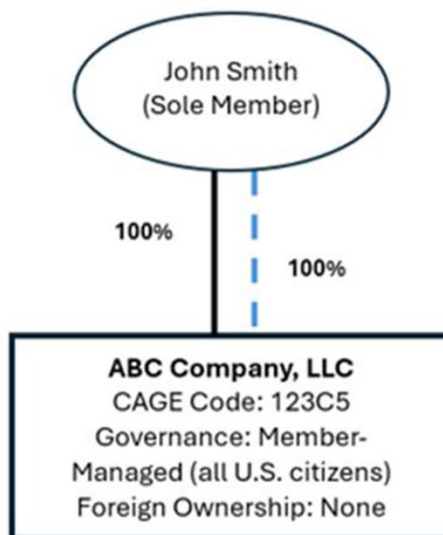
LEGEND

- Ownership
- - - Control
- U.S. Entity
- U.S. Person
- Foreign Entity
- Foreign Person



LLC (Member-Managed)

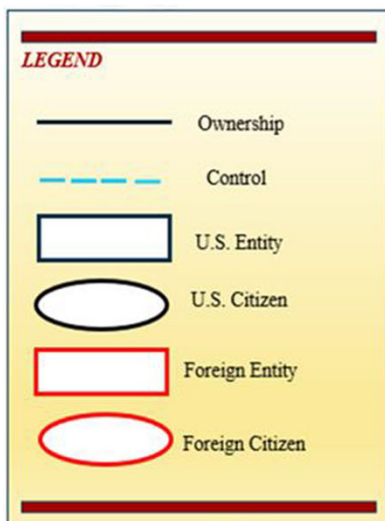
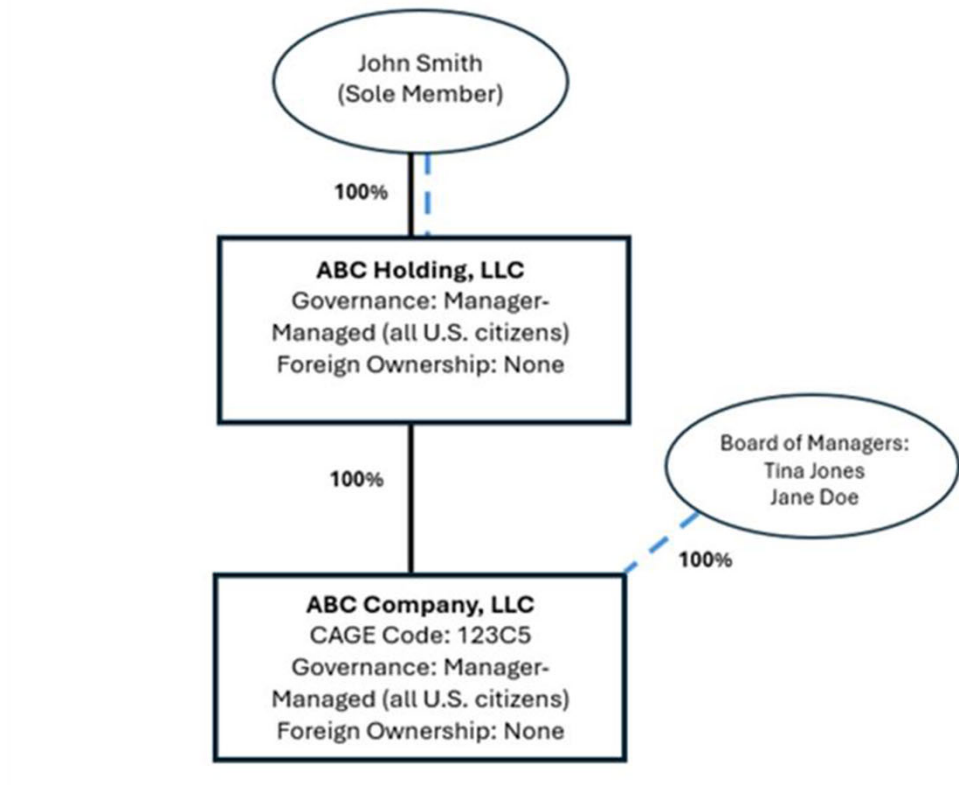
ORGANIZATIONAL CHART (Sample: Member-Managed LLC)





LLC (Manager-Managed)

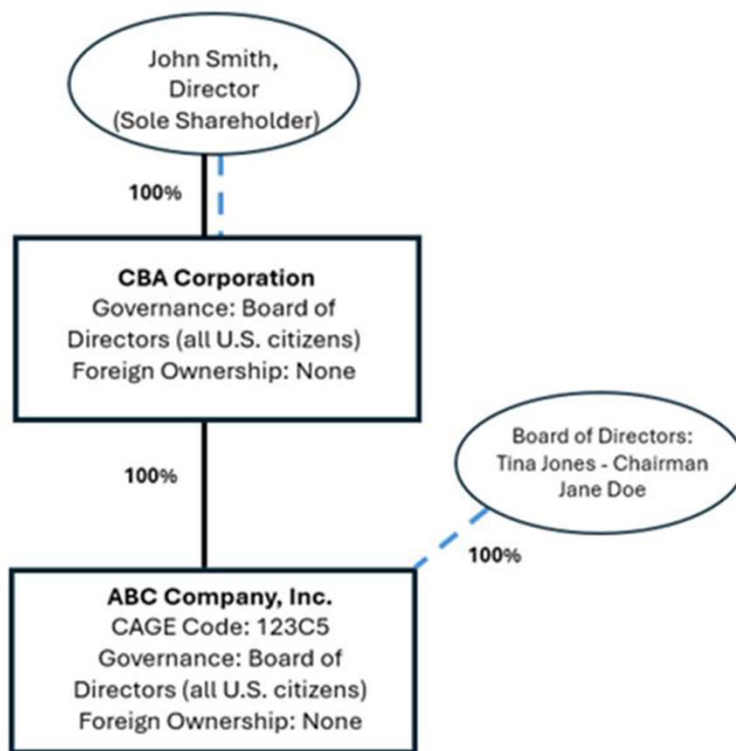
ORGANIZATIONAL CHART (Sample: Manager-Managed LLC)



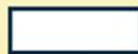


Corporation

ORGANIZATIONAL CHART (Sample: Corporation)



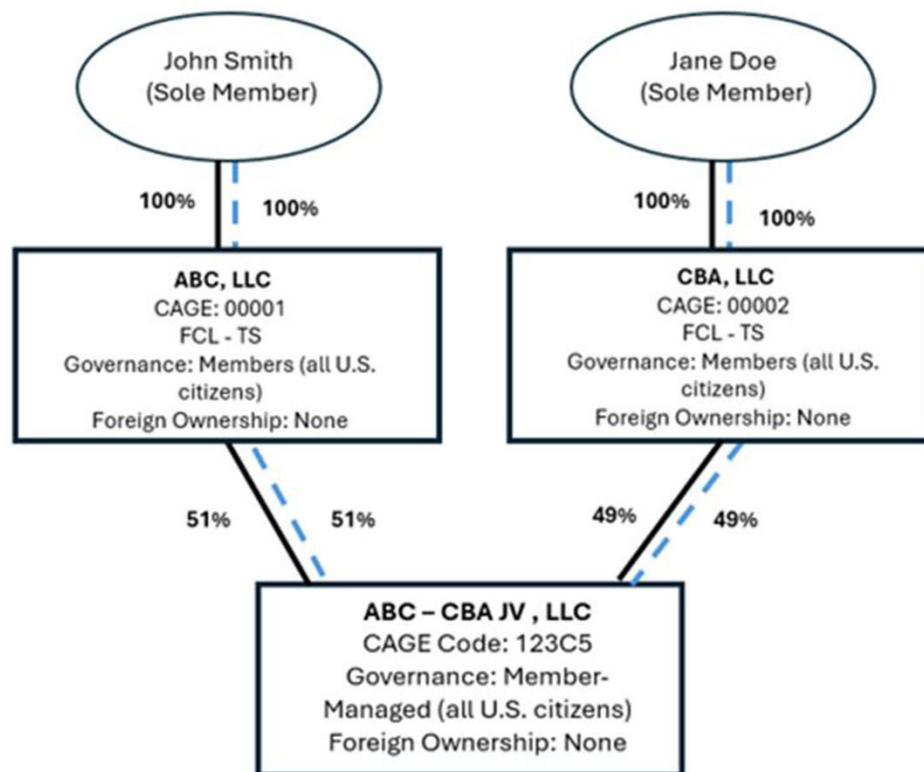
LEGEND

-  Ownership
-  Control
-  U.S. Entity
-  U.S. Citizen
-  Foreign Entity
-  Foreign Citizen



Joint Venture

ORGANIZATIONAL CHART (Sample: Joint Venture)

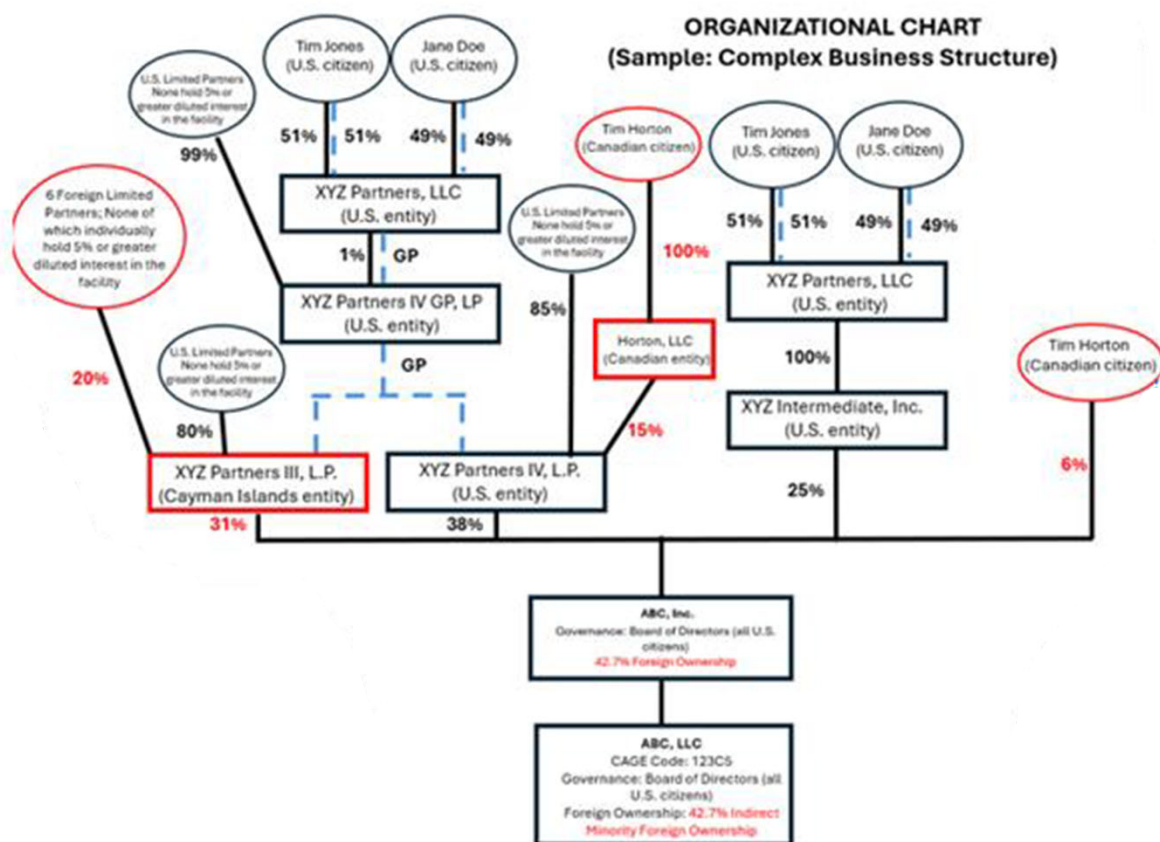


LEGEND

- Ownership
- - - Control
- U.S. Entity
- U.S. Citizen
- Foreign Entity
- Foreign Citizen



Complex Business Structure



LEGEND



Note: Identify any LPs with 5% or greater ownership (identify if U.S. or foreign)

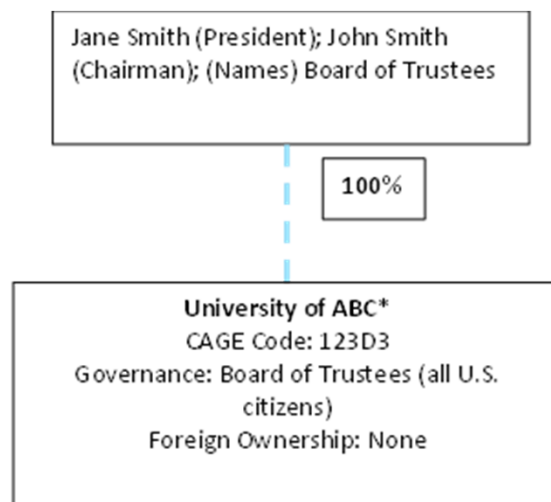
Note: Identify GPs (identify if U.S. or foreign)

Note: Identify the total percentage of individuals or entities with less than 5% ownership (in aggregate).

Note: Identify if any foreign country's ownership aggregates to 5% or greater



University Structure



**Organized as a 501(c)(3) nonprofit organization that is not beneficially owned by one individual or company: chartered by the government of the State of ABC.*



Branch Offices

A cleared branch office requires the home office to hold the same or higher FCL, and the branch location must have a safeguarding requirement.

